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Snell & Wilmer
L.L.P.
LAW OFFICES

One Arizona Center
Phoenix, Arizona 85004-2202
(602) 382-6000
Fax: (602) 382-6070
www.swlaw.com

PHOENIX, ARIZONA

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DATE: November 5, 2003

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Office of Initial Patent Examination U.S. Patent and Trademark Office	703/746-9195	

FROM: Howard Sobelman PHONE: 602-382-6228

RE: U.S. Serial No. 10/628,034

MESSAGE:

First Request for Correction of Filing Receipt Data

Please confirm receipt of same.

Thank you.

ORIGINAL DOCUMENT: Will not be sent NUMBER OF PAGES (Including Cover): 6

CONFIRMATION NO.: CLIENT MATTER NO.: 60655.0900

PLEASE RETURN TO: Debbie Mier PERSONAL FAX: No
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REQUESTOR: Howard DIRECT LINE: 602-382-6228
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Date: November 5, 2003
Signature of Person Sending Correspondence

-PATENT-

**IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE**

Applicant: Dunn, et al.

Docket No.: 60655.0900

Serial No.: 10/628,034

Filing Date: July 24, 2003

Title: SYSTEM AND METHOD
FOR FACILITATING A
SUBSIDIARY CARD
ACCOUNT WITH
CONTROLLED SPENDING
CAPABILITY

Confirmation No.: 3352

Group Art Unit: 3624

FIRST REQUEST FOR CORRECTION OF FILING RECEIPT DATA

Office of Initial Patent Examination
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Thank you for the Filing Receipt with respect to the above-identified patent application. We have noticed an error on the Filing Receipt, as explained below:

The "Domestic Priority Data as Claimed by Applicant" is incomplete. This application in addition to being a CIP of 10/155,332 filed on May 23, 2002 which claims benefit of 60/294,107 filed on May 29, 2001, also claims priority to U.S. Serial No. 60/458,096 which was filed on March 27, 2003. This priority claim is supported by the Specification (page 1 of the specification is attached with pertinent information underlined).

Serial No. 10/628,034
Docket No. 60655.0900

A copy of the Filing Receipt with the requested correction noted thereon is enclosed.

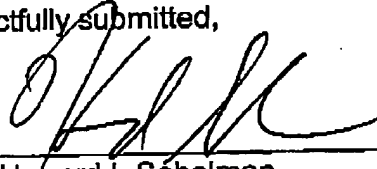
We look forward to receiving a corrected Filing Receipt for this application.

If you should have any questions, please do not hesitate to contact the undersigned at the telephone number listed below.

Respectfully submitted,

Dated: November 5, 2003

By


Howard I. Sobelman
Reg. No. 39,038

Snell & Wilmer L.L.P.
One Arizona Center
400 E. Van Buren
Phoenix, Arizona 85004-2202
Phone: (602) 382-6228
Fax: (602) 382-6070
Email: hsobelman@swlaw.com

EXPRESS MAIL NO. EV325938613US

**Title: SYSTEM AND METHOD FOR FACILITATING A SUBSIDIARY CARD
ACCOUNT WITH CONTROLLED SPENDING CAPABILITY**

**Inventors: Melanie Dunn, New York, NY
 Chris Hobbs, Singapore
 Julie Menichilli, Manhasset, NY
 Mary Rances, Huntington, NY**

Assignee: American Express Travel Related Services Company, Inc.

Cross-Reference to Related Applications

[0001] This patent application is a continuation in part of U.S. nonprovisional patent application serial no. 10/155,332 filed May, 23, 2002 and entitled "SYSTEM AND METHOD FOR A PREPAID CARD ISSUED BY A FOREIGN FINANCIAL INSTITUTION," which claims priority to, and the benefit of, the U.S. provisional patent application Serial No. 60/294,107 filed on May 29, 2001, both of which are hereby incorporated by reference. This application also claims benefit of priority from U.S. provisional patent application serial no. 60/458,096, filed March 27, 2003 and entitled "SYSTEM AND METHOD FOR SUPPLEMENTAL CARD LIMITS," which is also hereby incorporated by reference.

Field of Invention

[0002] The present invention relates generally to systems for facilitating transactions, and more specifically to systems for facilitating financial services at the request of a first party for the use of a second party such that the first party may define, modify, and/or terminate the spending capacity accorded the second party.

Background of Invention

[0003] Many financial institutions are providing plastic stored-value cards (e.g. debit cards, telephone calling cards, loyalty cards, etc.) that can be used in lieu of cash. To enable a stored value card to supply spending capacity, a user transfers an amount of value to the issuing institution, which stores or credits a corresponding amount of value to the user's account, allowing the value to be spent by the card user, thereby providing spending capacity to the card user. The value may be transferred to the issuing institution in a variety of ways such as on-line transfers from bank accounts or credit cards. The stored value cards then can be used to pay for items in stores or on-line, and to withdraw cash at automated teller machines (ATMs). The stored value cards appear to function like credit cards, but they often



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/628,034	07/24/2003	3624	750	60655.0900	5	11	2

CONFIRMATION NO. 3352

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SNELL & WILMER
ONE ARIZONA CENTER
400 EAST VAN BUREN
PHOENIX, AZ 850040001

FILING RECEIPT



Date Mailed: 10/23/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- ✓ Melanie Dunn, New York, NY;
- ✓ Chris Hobbs, Singapore, SINGAPORE;
- ✓ Julie Menichilli, Manhasset, NY;
- ✓ Mary Rances, Huntington, NY;

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OCT 27 2003

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Assignment For Published Patent Application

American Express Travel Related Services Company, Inc.;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/155,332 05/23/2002
which claims benefit of 60/294,107 05/29/2001

AND CLAIMS BENEFIT OF 60/458,096 03/27/2003

Foreign Applications

If Required, Foreign Filing License Granted: 10/23/2003

Projected Publication Date: 01/29/2004

Non-Publication Request: No

Early Publication Request: No

Title

✓ System and method for facilitating a subsidiary card account with controlled spending capability

Preliminary Class

705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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